

Project Name: Critical Dimensions of Indian Investments in Africa (IIA)

Project Reference Number: HBF/2012-14/078

Implementing Agency: CUTS International

Below is the general outline for each country report that will contribute to **Section IX** of the final deliverable of this study. As the project is looking at four countries, the final report will include four such case studies that will follow the below format.

TOR for Country Case Studies (+/- 30 pages per case study)

Scope and Structure of the Study: A Case Study of Indian Investment in [*Country x*]

- Cover Page (since we will publish it as an ebook available on the website of ARC)
- Foreword (by a scholar from the respective country)
- Acknowledgement

I. Executive Summary (+/4 pages)

The Executive Summary will include a brief summary of the survey results and highlight the key messages emerging from the analysis of primary and secondary data collected in each country. It will also propose key recommendations that will help facilitate policy and practice changes to enable better uptake and demonstration of responsible conduct in the selected companies/project. [Any identified best (and/or worst) practices would be good to add].

II. Introduction (+/-4 pages)

This should begin with an introduction to the project and rationale for case study selection. It will be followed by a contextual background of the country's national's investment climate as well as India's relationship with the aforementioned country. Topics should include:

- History of India's relationship with the country:
 - ✓ Economic
 - ✓ Political
- National investment climate (figures, sectors, major investors, etc.)
- National laws, rules and regulations governing foreign investment
- ✓ Overview of India's trading and investment relationship with country
- ✓ Overview of mining/agriculture sector
 - ✓ History
 - ✓ Main actors (both Indian and non-Indian), composition, etc.

- ✓ Contribution of sector to GDP, employment, foreign exchange earnings, etc.
- Mining/agricultural acts/laws/provisions of the specific country
- Sector specific codes and standards
- Adherence to relevant international treaties and conventions in respective sectors
- Sector specific policy on communities engagement (if any)
- Local environmental laws

III. Methodology (+/-4 pages)

- Research questions, data collection and analysis
- Selection criteria
- A brief description of: Scoping mission, design of survey tools, field research, key respondents, etc.

IV. Case Study: [Company Name] (+/-4 pages)

This section will focus on the company in each selected country. This section could be completed following the visit to the site. (CCIER will share some preliminary work relevant to this section that was completed during the company identification stage). Topics should include:

- Overview of selected company:
 - ✓ History of company as well as its duration in India
 - ✓ Ownership structure (wholly, partially owned by Indian investor)
 - ✓ Area of operations (specific mention of the operation we will be focusing on will be necessary here)
 - ✓ Size of company (Financial indicators/Number of employees as well as number of foreign/local)
 - ✓ Infrastructural investment in and around area of operations
 - ✓ National media coverage on the company's operations
- Analysis of company as per the National Voluntary Guidelines
- An assessment of the country as per other regulations governing Indian MNCs such as the Companies Act

V. Survey Results (+/-12 pages)

This section will provide a comprehensive analysis of survey results:

- Summary of survey results:
 - ✓ Short profile of survey respondents

- ✓ Overall survey results
- Analysis of company's impact divided into the following areas:
 - ✓ Social
 - ✓ Economic
 - ✓ Environmental

This point will look at the following pertaining to socio economic and environmental responsibilities of the companies:

- ✓ Evidence of the impact and implications thereof
- ✓ Main drivers/determinants
- ✓ Options for performance enhancement

Each section of the analysis must provide a graphical presentation of the survey results as they pertain to each issue. It will entail an in-depth analysis of the survey results highlighting significant issues as raised by the respondents. Given the balanced view this study is attempting to provide, a comparison between those expressed by the local communities and those expressed by Indian company would need to be included.

V. Key findings and Recommendations (+/- 2 pages)

The section will draw conclusions from the analysis in the previous section.

It will identify:

- Key findings
- Recommendations
 - ✓ Best practices based on actions by other Indian and non-Indian companies based in the country:
- Way forward:
 - ✓ Possible input into national investment policy
 - ✓ Possible implications for other BRICS investment into sector and country